

# EKAM LEASING AND FINANCE CO. LIMITED

REGD OFFICE: No. 11, Rani Jhansi Road, (Motia Khan), M M Road, New Delhi -110055 Tel No. : 011-23528015 Fax No. : 011-23528015  
E-mail : ekam.leasing1@gmail.com, info@ekamleasing.com, Website : www.ekamleasing.com  
CIN No.: L74899DL1993PLC055697

Date: 28/05/2022

THROUGH BSE LISTING PORTAL

**Scrip Code No.: 530581**

**BSE Ltd**  
Corporate Relationship Department,  
1<sup>st</sup> Floor, New Trading Ring,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001

**Subject: Submission of Annual Secretarial Compliance Report for the year ended on March 31, 2022.**

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended on March 31, 2022 certified by Mr. Krishna Kumar Singh, Proprietor of M/s KKS & Associates, Company Secretaries, New Delhi.

We request you to kindly take the same on record.

Thanking You,

Yours Faithfully

**For EKAM LEASING AND FINANCE CO.LIMITED**

  
**RAKESH JAIN**  
**MANAGING DIRECTOR**  
**DIN: 00061737**

**Encl: as above**





**SECRETARIAL COMPLIANCE REPORT OF M/S EKAM LEASING AND FINANCE CO. LIMITED (CIN: L74899DL1993PLC055697) FOR THE YEAR ENDED 31 MARCH 2022**

*[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended vide SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]*

I, have examined:

- (a) all the documents and records made available to us and explanation provided by Ekam leasing and finance co. Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31 March 2022 ("Review Period") in respect of compliance with the provisions of:
  - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 **(Not Applicable since there were no events requiring compliance during the Review Period);**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not Applicable since there were no events requiring compliance during the Review Period);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (repealed w.e.f 13<sup>th</sup> August, 2021) **(Not Applicable since there were no events requiring compliance during the Review Period);**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 and Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 (repealed w.e.f 9<sup>th</sup> August, 2021) **(Not Applicable since there were no events requiring compliance during the Review Period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not Applicable since there were no events requiring compliance during the Review Period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participant) Regulation, 2018;





and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, (taking into account the relaxations and extension of time granted by SEBI from time to time to the Listed Companies in view of the Covid 19 pandemic situation) except in respect of matters specified below:

Sr.No	Compliance Requirement (regulations / Circulars / Guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1.	Regulation 6(1) of the SEBI (LODR) Regulations, 2015 A listed entity shall appoint a qualified company secretary as the compliance officer.	The post of Company secretary lying vacant.	Company secretary has resigned on 27 <sup>TH</sup> December, 2021 and the due date for filling the vacancy was 27 <sup>TH</sup> March, 2022. However, as on 31.03.2022 Company has not appointed Company Secretary.
2.	Regulation 17(1)(a) of the SEBI (LODR) Regulations, 2015 The composition of board of directors of the listed entity shall have atleast one woman director	The post of Woman Director lying vacant.	Previous Woman director has resigned on 12 <sup>TH</sup> August, 2021. The due date to fill the vacancy was 12 <sup>TH</sup> November, 2021. However, as on 31 <sup>st</sup> March, 2022 the vacancy has not been filled.
3.	Regulation 14 of the SEBI (LODR) Regulations, 2015 The listed entity shall pay all such fees or charges, as applicable, to the recognised stock exchange(s), in the manner specified by the Board or the recognised stock exchange(s)	Late payment of listing fees.	Listing fees for the FY 21-22 was due for payment on 30 <sup>TH</sup> April, 2021 which was paid on 07 <sup>TH</sup> January, 2022.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder, insofar as it appears from my examination of those records.
- (c) There was following action taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:





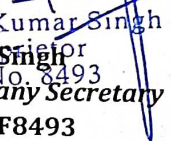
Sr.No	Action Take By	Details of Violation	Details of Action Take e.g. fines, warning letter, debarment etc.	Observations / Remarks of the Practicing Company Secretary, if any
1.	BSE Ltd. (BSE)	Non-compliance of Regulation 17(1) of SEBI (LODR) Regulations, 2015 with regard to appointment of woman director.	Fine imposed by BSE Ltd is Rs. 5,31,000/- (including GST)	The Company is pursuing the show cause notice and preparing for appropriate representation/reply and necessary steps.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **No Observation were made in the previous reports**

Sr.No	Observations of the Practicing Company Secretary in previous reports	Observations made in the Secretarial Compliance report for the year ended ... (the year are to be mentioned)	Action taken by The listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

(e) I, further, report that since there was no event of appointment/ re-appointment/ resignation of Statutory Auditor of the Listed Entity during the review period, therefore the compliance under circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 is not applicable for review period.

For KKS & ASSOCIATES  
Company Secretaries  
For KKS & Associates

  
Krishna Kumar Singh  
Practicing Company Secretary  
Membership no: F8493  
CP No.: 9760

Place: New Delhi  
Date: 28-05-2022  
UDIN: F008493D000420003



**Annexure A'**

To,  
The Board of Directors,  
Ekam Leasing and Finance Co. Limited  
11, Rani Jhansi Road,  
New Delhi-110055

Our report of even date is to be read along with this letter.

- a. Maintenance of Secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
- b. We have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in Secretarial records. We believe that the process and practices, we followed provide a reasonable basis for our opinion.
- c. Where ever required, we have obtained the Management representation about the Compliance of laws, rules and regulations and happening of events etc.
- d. As regards the books, papers, forms, reports and returns filed by the Company under the above mentioned regulations, the adherence and compliance to the requirements of the said regulations is the responsibility of management. Our examination was limited to checking the execution and timeliness of the filing of various forms, reports, returns and documents that need to be filed by the Company under the said regulations. We have not verified the correctness and coverage of the contents of such forms, reports, returns and documents.

Place: New Delhi

Date: 28-05-2022

For KRS & ASSOCIATES  
Company Secretaries

  
Krishna Kumar Singh  
Proprietor  
M. No. 8493